

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

IN RE CAPACITORS ANTITRUST  
LITIGATION

Master File No. 3:17-md-02801-JD

Civil Action No. 3:14-cv-03264-JD

THIS DOCUMENT RELATES TO: ALL  
DIRECT PURCHASER ACTIONS

**[PROPOSED] ORDER GRANTING  
DIRECT PURCHASER CLASS'S MOTION  
FOR FINAL APPROVAL OF CLASS  
ACTION SETTLEMENTS WITH  
DEFENDANTS AVX, ELNA, HOLY STONE,  
KEMET, PANASONIC, SHINYEI, SHIZUKI  
AND TAITSU**

On July 24, 2020, the Direct Purchaser Class (the "Class") filed a Motion for Final Approval of Class Action Settlement with AVX Corporation ("AVX"); ELNA Co., Ltd. and ELNA America Inc. (collectively, "ELNA"); Holy Stone Enterprise Co., Ltd., Milestone Global Technology, Inc. (D/B/A HolyStone International) and Vishay Polytech Co., Ltd. (collectively, "Holy Stone"); KEMET Corporation and KEMET Electronics Corporation (collectively, "KEMET"); Panasonic Corporation, Panasonic Corporation of North America, SANYO Electric Co., Ltd., and SANYO North America Corporation (collectively "Panasonic"); Shinyei Kaisha, Shinyei Technology Co., Ltd., Shinyei Capacitor Co., Ltd. and Shinyei Corporation of America, Inc. (collectively, "Shinyei"); Shizuki Electric Co., Inc. ("Shizuki"); and Taitso Corporation and Taitso America, Inc. (collectively "Taitso"; AVX,

1 ELNA, Holy Stone, KEMET, Panasonic, Shinyei, Shizuki, and Taitso collectively, the “Settling  
2 Defendants”). MDL ECF No. 1360.

3 The Court, having reviewed the motion; the Settlement Agreements; the declarations of Joseph  
4 R. Saveri and Kendall S. Zylstra and the exhibits thereto; the pleadings and other papers on file in this  
5 action, and the arguments of counsel and the parties at the hearing held on September 17, 2020, and  
6 having presided over this case since its inception, hereby finds that final approval of the Settlements  
7 should be GRANTED.

8 NOW, THEREFORE, IT IS HEREBY ORDERED THAT:

9 1. The Court has jurisdiction over the subject matter of the Action and over all parties to  
10 the Settlement Agreements, including all members of the Class.

11 2. For purposes of this Order, except as otherwise set forth herein, the Court adopts and  
12 incorporates the definitions contained in the Settlement Agreements. MDL ECF No. 1360.

13 3. In light of the Court’s order dated November 14, 2018 (ECF No. 2231), certifying the  
14 Direct Purchaser Class pursuant to Fed. R. Civ. P. 23(a) and (b)(3), the Court’s order dated February 28,  
15 2019 (ECF No. 2282) approving the Settlement Class’s plan for notice of pendency of class action, the  
16 exclusion notices received during the opt-out period by the order, and the now proposed Settlements in  
17 this Action, the Settlement Class is defined as follows:

18 All persons (including individuals, companies, or other entities) that  
19 purchased Capacitors (including through controlled subsidiaries, agents,  
20 affiliates or joint ventures) directly from any of the Defendants, their  
21 subsidiaries, agents, affiliates or joint ventures from January 1, 2002 to  
22 December 31, 2013 (the “Class Period”), and such persons are: (a) inside  
23 the United States and were billed or invoiced for capacitors by one or more  
24 Defendants during the Class Period (*i.e.*, where capacitors were “billed to”  
persons within the United States); or (b) outside the United States and were  
billed or invoiced for capacitors by one or more Defendants during the  
Class Period, where such capacitors were imported into the United States  
by one or more Defendants (*i.e.*, where the capacitors were “billed to”  
persons outside the United States but “shipped to” persons within the  
United States).

25 Excluded from the Settlement Class are: (1) Defendants (and their  
26 subsidiaries, agents, and affiliates); (2) shareholders holding more than  
27 10% equity interest in Defendants; (3) each member of the Settlement  
28 Class that timely requests exclusion by “opting out”; (4) governmental  
entities; and (5) the judges and chambers staff in this case, including their  
immediate families.

4. The persons or entities identified in the “Summary of Entities Requesting Exclusion,” attached hereto as **Exhibit A**, have validly requested exclusion from the Settlement Class and, therefore, are excluded, except that (1) Blackberry Ltd. requested to be excluded only with respect to the Settlements with ELNA, Holy Stone and Panasonic (2) Hon Hai and its related entities requested to be excluded only with respect to the Settlement with Panasonic; and (3) Plexus Corp. and its related entities requested to be excluded only with respect to the Settlements with ELNA and Panasonic. Such persons or entities, and only such persons or entities, are not included in or bound by this Order with respect to the Settling Defendants from whose settlements they have requested exclusion. Such persons or entities are not entitled to any recovery of the settlement proceeds obtained through the Settlement Agreements with respect to the Settling Defendants from whose settlements they have requested exclusion.

5. Also excluded from the Settlement Class are those that excluded themselves in accordance with the terms of the 2019 Notice of Certification of Class of Direct Purchasers of Capacitors.

6. Pursuant to Federal Rule of Civil Procedure 23(g), the Court has previously appointed the Joseph Saveri Law Firm, Inc. as Lead Class Counsel.

7. The notice to the members of the Settlement Class is the best notice practicable under the circumstances, including individual notice to all members of the Settlement Class who could be identified through reasonable efforts. The notice met the requirements of due process and provided due and adequate notice of those proceedings and of the matters set forth therein, including the proposed settlements set forth in the Settlement Agreements, to all persons entitled to such notice, and said notice fully satisfied the requirements of Rules 23(c)(2) and 23(e)(1) of the Federal Rules of Civil Procedure and the requirements of due process.

8. The Court further finds that the Class provided notice to the Settlement Class satisfying the requirements of *In re Mercury Interactive Corp. Sec. Litig.*, 618 F.3d 988, 995 n.2 (9th Cir. 2010); the Northern District of California’s *Procedural Guidelines for Class Action Settlement*; and the notice program approved by the Court in its July 10, 2020 order granting preliminary approval. MDL ECF

Nos. 1340, 1340-1, 1340-2. Notice having been duly given, no Settlement Class members objected to the settlements.

9. The Court finds that the Settling Defendants have satisfied their obligations to provide notice under the Class Action Fairness Act, 28 U.S.C.A. § 1715.

10. Without affecting the finality of the Judgments in any way, this Court hereby retains continuing jurisdiction over: (a) the Settlements and Settlement Agreements, including all future proceedings concerning the administration, interpretation, consummation, and enforcement of the Settlement Agreements; (b) disposition of the Settlement Fund; (c) hearing and determining applications by Lead Class Counsel for attorneys' fees, costs, expenses, interest, and incentive awards; (d) hearing and ruling on any matters relating to the plan of allocation of settlement proceeds; and (e) all parties to the Action and Releasors for the purpose of enforcing and administering the Settlement Agreements and the mutual releases and other documents contemplated by, or executed in connection with the Settlement Agreements.

11. The Court hereby finally approves and confirms the settlements set forth in the Settlement Agreements and finds that said settlements are, in all respects, fair, reasonable, and adequate to the Class pursuant to Rule 23 of the Federal Rules of Civil Procedure.

12. Disputes regarding the plan of allocation raised by Cisco Systems, Inc. and Aptiv Services US, LLC fka Delphi Automotive LLP can be resolved by reference to a special master if necessary.

13. This Court hereby dismisses on the merits and with prejudice the Action in favor of Settling Defendants, with all parties to bear their own costs and attorneys' fees.

14. Settling Defendants are hereby and forever released and discharged with respect to the Released Claims as defined in the Settlement Agreements. MDL ECF No. 1360.

15. The Court finds, pursuant to Rules 54(a) and (b) of the Federal Rules of Civil Procedure, that Final Judgments of Dismissal with prejudice as to Settling Defendants ("Judgments") should be

1 entered and further finds that there is no just reason for delay in the entry of the Judgments, as Final  
2 Judgments, in accordance with the terms of the Settlement Agreements.

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4 IT IS SO ORDERED.

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6 Dated: \_\_\_\_\_

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9 HON. JAMES DONATO  
10 United States District Judge  
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# EXHIBIT A

**Summary of Entities Requesting Exclusion****Entities Requesting Exclusion from the Round 4 Settlements**

<b>Requesting Entity</b>	<b>Entity/Affiliate with Record of Transactions</b>	<b>Location</b>
Dell Technologies, Inc.	Dell Computer Corporation	Round Rock, TX
	EMC Corporation	Hopkinton, MA
	Wyse Technology	Round Rock, TX
Microsoft Mobile/Nokia	Microsoft Mobile	Redmond, WA
	Nokia	Naperville, IL
	Nokia	San Diego, CA

**Entities Requesting Partial Exclusion (ELNA)**

Blackberry Ltd.	Blackberry Corporation	Pleasanton, CA
Plexus Corp. / Electronic Assembly Corp.	Electronic Assembly Corporation	Neenah, WI
	Plexus Corporation	Neenah, WI
	Plexus	Nampa, ID
	Plexus Corp	Appleton, WI
	Plexus Corp	Neenah, WI
	Plexus Services Corp - N	Neenah, WI
	Plexus Int Sales & Logistics	Neenah, WI

**Entities Requesting Partial Exclusion (Holy Stone)**

Blackberry Ltd.	Blackberry Corporation	Pleasanton, CA
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**Entities Requesting Partial Exclusion (Panasonic)**

Blackberry Ltd.	Blackberry Corporation	Pleasanton, CA
Hon Hai/Foxconn/CTI	Hon Hai	Houston, TX
	NSG Technology / Foxconn	San Jose, CA
	Competition Team Ireland	San Jose, CA
Plexus Corp. / Electronic Assembly Corp.	Electronic Assembly Corporation	Neenah, WI
	Plexus Corporation	Neenah, WI
	Plexus	Nampa, ID
	Plexus Corp	Appleton, WI
	Plexus Corp	Neenah, WI
	Plexus Services Corp - N	Neenah, WI
	Plexus Int Sales & Logistics	Neenah, WI